# Liquid Capital Statement

for the month of 30-JUN-22

## of Ms. Alpha Capital ( Private) Limited

Submission Date 13-JUL-2022 11:29:45

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1.1 Society & Equipment	s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Interest of the content of the content of the case of tenue upto 1 year.	1	Assets			
1.2 intergible Assets   1.3 investment in Debt. Securities   1.4 investment in Debt. Securities   1.5 investment in Debt. Securities   1.6 investment in Debt. Securities   1.6 investment in Debt. Securities   1.6 investment in Debt. Securities   1.7 investment in Debt. Securities   1.8 investment in Equity Securities   1.9 investment in Equity Securities   1.9 investment in Equity Securities   1.1 investment in Equity Securities Investment in Problems of Securities Problems of Securities Investment in Equity Securities Investment in Investment in Securities Investment Investment In Securities Investment Investment Investment Invest	1.1	Property & Equipment	35,037,282	35,037,282	
Investment in Debt. Securities		Intangible Assets	5,669,667	5,669,667	
If If Island Mann:  1. Sho of the balance sheet value in the case of tenure upto 1 year:  1. 7.5% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:  1. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:  1. 10% of the balance sheet value in the case of tenure upto 1 year.  If unlisted than:  1. 10% of the balance sheet value in the case of tenure upto 1 year.  If unlisted than:  1. 10% of the balance sheet value in the case of tenure upto 1 year.  If Island 15% of Valk of each securities on the cutoff date as computed by the Securities Exchange for respective securities without sheet value.  1. If Island 15% of Valk of each securities on the cutoff date as computed by the Securities Exchange for respective securities without sheet value.  1. If unlisted, 10% of Cealving value.  1. If unlisted, 10% of Cealving value.  1. If unlisted, 10% of Cealving value of the cutoff of the securities of the cutoff of the securities without the securities of the cutoff of the securities without the securities of the cutoff of the securities of the cutoff of the securities without the securities of the cutoff of the securities of the					
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II UNK of the balance sheet value, in the case of tenure of mote than 3 years.					
Fundsted than:					
Ill 125% of the balance sheet value, in the case of tenuer from 1-3 years.		If unlisted than:			
III. 15% of the balance sheet value, in the case of tenure of more than 3 years.		i. 10% of the balance sheet value in the case of tenure upto 1 year.			
Investment in Equity Securities		iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
respective securities whichever is higher.  If it unlisted, 100% of carpying value.  If unlisted, 100% of carpying value of investment in any asset including shares of listed securities that are in Block, freeze or Pedege status as on reporting date. (July 19, 2017)  Provided that 100% halicust shall not be applied in case of investment in those securities which are Pedged in favor of Stock Schrange (Cleaning louse against Margin Financing requirements or pledged of the provision of the securities in the securities with the securities of the securities o	1.5	Investment in Equity Securities			
ii. If unlisted, 100% of carrying value. iii. Subscription money against Investment in IPOoffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the Investments of securities broker. iv. 100% Allacrust shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short lerm financing amargements in such cases, the habicut as provided in schedule ill of the Regulations in respect of investment in subscription in schedule ill of the Regulations in respect of investment in subscription in schedule ill of the Regulations in respect of investment in subscription in a schedule ill of the Regulations in respect of investment in subscription in a schedule ill of the Regulations in respect of investment in subscription in a schedule ill of the Regulations in respect of investment in schedule ill of the Regulations in respect of investment in schedule ill of the Regulations in respect of investment in schedule ill of the Regulations in respect of investment in schedule ill of the Regulations in respect with the schedule ill of the Regulations in respective securities which have the respective securities which have a schedule ill of the Regulation of Regulation Regulation Regulation Regulati					
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markets including MtM gains.  ii. Receivable on entitlements against trading of securities in all markets including MtM gains.  Receivables from customers  i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918  0  iv. Balance sheet value	1.10				
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918 0 iv. Balance sheet value		markets including MtM gains.	40.050		40.050
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haircut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918  0  iv. Balance sheet value	1.17		48,050	0	48,050
market value of any securities deposited as collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting haircut  iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting haricut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918  0  iv. Balance sheet value	,				
ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918 0 iv. Balance sheet value		market value of any securities deposited as collateral after applying VaR based haircut.			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  10,490,918 0		ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  iv. Balance sheet value  10,490,918 0					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  10,490,918  0  iv. Balance sheet value		collateral upon entering into contract,			
iv. Balance sheet value			10 /00 018	0	10,490,918
		iv. Balance sheet value		-	10,430,910
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.		value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of	21,036,145	0	
vi. 100% haircut in the case of amount receivable form related parties.		vi. 100% haircut in the case of amount receivable form related parties.			
1.18 Cash and Bank balances	1.18		2 222 5 5		0.000.555
i. Bank Balance-proprietory accounts2,920,2280ii. Bank balance-customer accounts77,180,5790					

# Liquid Capital Statement

for the month of 30-JUN-22

## of Ms. Alpha Capital ( Private) Limited

Submission Date 13-JUL-2022 11:29:45

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	iii. Cash in hand	17,817	0	17,817
1.19	Total Assets	210,549,944	45,957,207	143,556,592
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	76,938,705	0	76 029 705
	Current Liabilities	70,930,703	0	76,938,705
	i. Statutory and regulatory dues			
	ii. Accruals and other payables			
	iii. Short-term borrowings			
	v. Current portion of subordinated loans v. Current portion of long term liabilities			
	vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation	100 500		400 500
	ix. Other liabilities as per accounting principles and included in the financial statements  Non-Current Liabilities	480,596	0	480,596
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits	3,918,924	0	3,918,924
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	a. The existing authorized share capital allows the proposed enhanced share capital  b. Boad of Directors of the company has approved the increase in capital  c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:  a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period  b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.  ii. Subordinated loans which do not fulfill the conditions specified by SECP			
	ii. Subolulilated loans which do not fulfill the conditions specified by SECF			
2.5	Total Liabilites	81,338,225	0	81,338,225
3	Ranking Liabilities Relating to:			
	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
	Net underwriting Commitments  (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription			
	price; the aggregate of:			
	(ii) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.  In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
	Negative equity of subsidiary  The amount by which the total assets of the subsidiary ( excluding any amount due from the subsidiary)			
1		1		
	exceed the total liabilities of the subsidiary  Foreign exchange agreements and foreign currency positions			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
3.5	Foreign exchange agreements and foreign currency positions			

# Liquid Capital Statement

for the month of 30-JUN-22

## of Ms. Alpha Capital ( Private) Limited

Submission Date 13-JUL-2022 11:29:45

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received , less value of any securites deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	129,211,719	45,957,207	62,218,367